

## Bearing Prognostic method Based on Entropy Decrease at Specific Frequency

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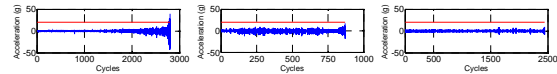
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## Motivation

- Vibration signal are generally measured for bearing fault diagnosis and prognosis.




- Unfortunately, it is very **challenge** to extract damage feature.
  - Raw data (acceleration) are **not consistent** in a pattern of signal, life span, and threshold even if they are from the same systems and usage conditions.
  - There are **many efforts** to tackle this problem, e.g. methods based on frequency domain and entropy, but not mature yet.
- Therefore, this presentation proposes an **improved method** for bearing prognosis.



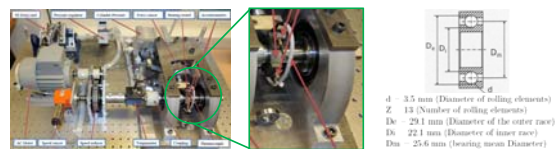
## Outline

- 1 Introduction
- 2 Damage feature extraction
- 3 Prognosis
- 4 Issue on threshold
- 5 Conclusions




## Introduction

- FEMTO bearing\*



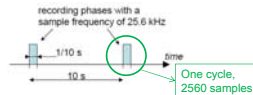
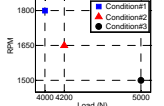
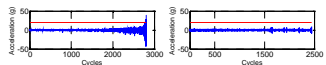
[ Experimentation platform: PRONOSTIA ]


\*P. Nectoux, R. Gouriveau, K. Medjaher, E. Ramasso, B. Morello, N. Zerhouni, C. Varnier. PRONOSTIA: An Experimental Platform for Bearings Accelerated Life Test. IEEE International Conference on Prognostics and Health Management, Denver, CO, USA, 2012.



## Introduction

- Data acquisition (Acceleration)
  - Sampling frequency: 25.6 kHz
  - 2560 samples (during 1/10 secs.) are recorded every 10 secs.
- Three different operating conditions
  - Condition 1: 7 Sets
  - Condition 2: 7 Sets
  - Condition 3: 3 Sets
- Threshold: 20g








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- 2 **Damage feature extraction**
- 3 Prognosis
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Keywords: Specific Frequency & Entropy Decrease



### Damage feature extraction

## Summary of feature extraction method

- The idea of this method is based on:
  - In most case, **raw data** don't give degradation information.
    - Multiple/complex **damage modes** exist in a bearing system.
  - Certain changes in **specific frequencies** may contain degradation information.
    - It is a kind of **decomposed signal**.
    - Some of them represent noise part, and some of them catch a damage change.
- Procedure
  - Step 1: Reshape FFT results in frequency-wise
  - Step 2: Select **specific frequencies** having degradation information based on **entropy decrease**

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### Damage feature extraction

## Step 1: Frequency-wise plot

Raw data

FFT Fast Fourier Transform

Re-plot Frequency-wise

- There are 2560 different frequency from 0 Hz to 25.6 kHz.
- Take **one frequency** (Frq: 1).
- That frequency has different **amplitude** value in terms of **cycle**.

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### Damage feature extraction

## Step 2: Select specific frequencies

- Entropy** (information theory),  $H$ 
  - Equation:  $H(X) = -\sum_{x_i} p(x_i) \log_2 p(x_i)$
  - Nature
    - The entropy of an isolated system never decreases.
    - The entropy of a **system (A)** **decreases** only when it **interacts** with some **other system (B)** whose entropy increases in the process.
- System A
  - Bearing test system
- System B
  - Microscopic damage in bearing
- Without B, entropy of A does not decrease.
- After B, entropy of A **decreases**.

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### Damage feature extraction

## Step 2: Select specific frequencies

- Some **frequencies** show much more **clear entropy decrease** than raw data.
- Those specific frequencies are selected.

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### Damage feature extraction

## Results of all test sets

**[Condition 1]** **[Condition 2]** **[Condition 3]**

Entropy vs Cycles

Set#1, Set#2, Set#3, Set#4, Set#5, Set#6, Set#7

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Keywords → Threshold

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### Prognosis Model selection and threshold

- Model:  $Entropy = \beta_1 \exp(\beta_2 \sqrt{Cycle})$ 
  - Estimate beta 1 and beta 2 based on entropy data given up to current time.
- Threshold
  - Degradation rate:
    - There are **two groups** for threshold.
      - Faded by 20% and 45%

$1 - \frac{\text{min. entropy}}{\text{max. entropy}}$

[ Degradation rate of all sets ]

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### Prognosis Prediction results of degradation

- Threshold: 20%
  -
- Threshold: 45%
  - Max. Entropy is proportional to EOL. But, several training data are required.
  - What if current cycle is here?
  -

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### Prognosis Prediction results RUL

- Current cycle
  - For set 1 and 2: 75% of lifespan
  - For set 3~set 7: given in challenge problem (IEEE PHM 2012)

	Set 1	Set 2	Set 3	Set 4	Set 5	Set 6	Set 7
Cond#1 Current cycle	2101	652	1801	1138	2301	2301	1501
RUL_True	701	218	573	34	161	146	757
RUL_Prediction	87	475	460	34	133	976	442
Error (%)	87.65	-117.97	19.64	-10.38	17.34	-568.54	41.61
Cond#2 Current Cycle	682	597	1201	611	2001	571	171
RUL_True	228	199	753	139	309	129	58
RUL_Prediction	98	109	627	21	201	90	44
Error (%)	57.17	45.20	16.75	84.75	34.91	29.94	24.83
Cond#3 Current cycle	385	1227	351				
RUL_True	129	409	82				
RUL_Prediction	84	388	5				
Error (%)	34.87	5.11	94.20				

Score based on the competition criterion: 0.3605  
 (When all prediction results of RUL is the exactly same as true ones, the score=1.)

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### Issue on threshold True EOL is unknown

- True EOL is unknown in real problems because it should be repaired before failure.
- Wasted life by fixing a threshold to 20%
  - 
  - Ratio of wasted life and true EOL

unit (%)	Set 1	Set 2	Set 3	Set 4	Set 5	Set 6	Set 7
Cond#1	84	4	16	6	11	50	5
Cond#2	80	15	64	7	63	29	52
Cond#3	76	84	8				

Half of bearings represent below 16% waste rate.

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### Issue on threshold Threshold 20% is not an absolute value

- IMS bearing\*
  - 
  - Degradation feature
    - 
    - By applying the same method,
      - Damage feature is found.
      - Max. Entropy is proportional to EOL.
    - But, the level of threshold is different.
      - Faded by around 70%
      - FEMTO: accelerated test
      - IMS: nominal conditions

\*J. Lee, H. Qiu, G. Yu, J. Lin, and Rexnord Technical Services (2007). 'bearing Data Set', IMS, University of Cincinnati, NASA Ames Prognostics Data Repository, [http://ti.arc.nasa.gov/project/prognostic-data-repository], NASA Ames, Moffett Field, CA.

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## Conclusions

- Future work
  - Apply this method to other bearing problems under different level of (accelerated) loading conditions.
  - Hope there is a relation between threshold (degradation rate) and level of loading conditions.
  - Uncertainty caused by taking median of entropy from different frequencies, model parameter estimation, and threshold will be considered.
- This method can be widely applicable if certain relation (threshold-loading) is found or if several training data sets are available in each bearing problem.
  - Procedure summary of the method
    - Reshape FFT results in frequency-wise
    - Select specific frequencies showing entropy decrease
    - Take a median of those entropies as a damage feature
    - Model selection for prognosis, and estimate model parameters
    - Setting a threshold (degradation rate based on the max. entropy)



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Thank you for your attention! /



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